STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

Docket No. 33203

Confirmation No.

6150

In Re Application Of: FLAIM et al.

Filing Date Examiner Customer No. Group Art Unit Application No. 23589 1714 10/758,503 January 15, 2004 Ronesi, Vickey M.

BRID ORGANIC-INORGANIC POLYMER COATINGS WITH HIGH REFRACTIVE INDICES

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

COMMISSIONER FOR PATENTS:

 ⊠ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated: June 2, 2005

Tracy L. Bornman, Reg. No. 42,347 HOVEY WILLIAMS LLP 2405 Grand Boulevard, Suite 400 Kansas City, Missouri 64108 816/474-9050 ATTORNEYS FOR APPLICANTS

Certificate of Mailing by First Class Mail

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1,450, Alexandria, VA 22313-1450"

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CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) Docket No. 33203												
In Re Application: FLAIM et al.												
Application No.	Filing Date Examiner Customer No. Group Art Unit Confirmation											
10/758,503	January 15, 2004	6150										
Title: Hybrid organic-inorganic polymer coatings with high refractive indices												
CAT & TRAUS	(Only con		nt of Fee s to pay the	fee set forth in 37	CFR 1.17(p))							
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) □ A check in the amount of is attached. □ The Director is hereby authorized to charge and credit Deposit Account No. 19-0522 as described below. □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. □ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail □ certify that this document and authorization to charge deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on June 2, 2005 □ (Date) □ A check in the amount of is attached. □ Payment by credit card information and authorization on PTO-2038. Certificate of Mailing by First Class Mail □ I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on June 2, 2005 □ (Date)												
	som Mailing Correspondence n Kahrau											
Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate												
*This certific	ate may only be used unt. By Signature	if paying by	Dated:	June 2, 2005		·						
Tracy Bornman, Re HOVEY WILLIAM 2405 Grand Bouleve Kansas City, Misson 816/474-9050 ATTORNEYS FOR	IS LLP ard, Suite 400 uri 64108		· ·									

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 33203 FLAIM et al. In Re Application Of: Confirmation No. Group Art Unit Application No. Filing Date Examiner Customer No. 6150 23589 1714 January 15, 2004 Ronesi, Vickey M. 10/758,503 HYPERID REGANIC-INORGANIC POLYMER COATINGS WITH HIGH REFRACTIVE INDICES Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ★ The statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

06-03-05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

FLAIM, et al.

Serial No.: 10/758,503

Filed: January 15, 2004

HYBRID ORGANIC-INORGANIC POLYMER

COATINGS WITH HIGH REFRACTIVE

INDICES

Docket No.: 33203

Confirmation No.: 6150

Group Art Unit No.: 1714

Customer No.: 23589

Examiner: Ronesi, Vickey M.

TRANSMITTAL

Transmitted herewith are: Express Mail Transmittal (1 page); Information Disclosure Statement (1 page); Transmittal of Information Disclosure Statement (2 pages); Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (1 page); Information Disclosure Statement by Applicant (PTO-1449) (1 page); and return postcard.

EXPRESS Mail No.

Respectfully submitted,

HOVEY WILLIAMS LLP

Dated: _____June 2, 2005

by '

Tracy Bornman, Reg. No. 42,347 HOVEY WILLIAMS LLP 2405 Grand Boulevard, Suite 400 Kansas City, Missouri 64108 816/474-9050

ATTORNEYS FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

FLAIM, et al.

Serial No.: 10/758,503

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HYBRID ORGANIC-INORGANIC POLY-MER COATINGS WITH HIGH REFRAC-

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Docket No.: 33203

Confirmation No.: 6150

Group Art Unit No.: 1714

Customer No.: 23589

Examiner: Ronesi, Vickey M.

Assistant Commissioner of Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

The attached references are being filed to fulfill the duty of candor and good faith toward the Patent and Trademark Office, as required by 37 C.F.R. §1.56.

Any fee which is due in connection with this Statement should be applied against Deposit Account No. 19-0522.

Respectfully submitted,

Tracy Bornman/Reg. No. 42,347

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCI (Rev. 2-32) PATENT AND TRADEMARK OFFICE		SERIAL NO. 10/758,503				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT: FLAIM,	APPLICANT: FLAIM, et al.				
se several sheets if necessary)	FILING DATE: January 15, 2004	GROUP: 1714	CONF. NO: 6150			

U.S. PATENT DOCUMENTS

EXAM. INITIAL		DO	CUMI	ENTI	NUM	BER		INVENTOR NAME	CLASS	SUB- CLASS	ISSUE DATE (PATENT); PUBLICATION DATE (PUBLISHED APPLICATION); OR FILING DATE (NON-PUBLISHED APPLICATION)
	4	5	7	9	5	9	4	Nanao et al.			. April 1, 1986
	US	US 2002/0010273 A1						Matsumura et al.			January 24, 2003
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FOREIGN PATENT DOCUMENTS

		DOC	UME	ENT I	NUMI	BER		PUBLICATION DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
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OTHER DOCUMENTS (Including Publisher, Author, Title, Relevant Pages, and Date and Place of Publication)

•	Macromolecules, Wang, B. et al., "New High Refractive Index Organic/Inorganic Hybrid Materials from Sol-Gel Processing," Vol. 24, No. 11, pp. 3449-3450, 1991.